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Dahna S. Pasternak
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

BARNETT et al.

Serial No.: 09/610,313

Group Art Unit: 1633

Filing Date: July 5, 2000

Examiner: B. Whiteman

Title: POLYNUCLEOTIDES ENCODING ANTIGENIC HIV TYPE C
POLYPEPTIDES, POLYPEPTIDES AND USES THEREOF

TECH CENTER 1600/2900

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TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is an Information Disclosure Statement, including a Form PTO-1449 and copies of the cited references. Enclosed is a check for \$180 to cover fees.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-1648.

Respectfully submitted,

Date: Aug 15, 2001

By: D. Pasternak
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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The information listed below may be material to the examination of the above-identified application. Copies of the information and completed PTO-1449 forms are submitted herewith. The Examiner is respectfully requested to make this information of official record in the application. The information includes:

- U.S. Patent Application No. 5,840,313 issued Nov. 24, 1998 to Vahine et al.;
- U.S. Patent Application No. 5,846,546 issued December 8, 1998 to Hurwitz et al.;
- U.S. Patent Application No. 5,876,731 issued March 2, 1999 to Sia et al.;
- International Publication No. WO 96/30523 published October 3, 1996;
- International Publication No. WO 98/34640 published August 13, 1998;
- European Publication No. 0 449 116 A1 published October 2, 1991;

10/12/2001 020001E1 00000046 09510313

10/12/2001

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Montagnier et al., "Human T-Cell Leukemia Viruses: The Family of Human T-Lymphotropic Retroviruses: Their Role in Malignancies and Association with AIDS," *Gallo, Essex & Gross, eds.*, pp 363-379 (1984);

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Vilmer et al., "Isolation of New Lymphotropic Retrovirus from Two Siblings with *Haemophilia B*, One with AIDS," *The Lancet* 1:753 (1984); and

Wang et al., "Assembly of HIV GAG-B-Galactosidase Fusion Proteins into Virus Particles," *Virology* 200:524-534 (1994).

This Information Disclosure Statement under 37 CFR § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

Respectfully submitted,

Date: Aug 15, 2001

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